

# Defining body-weight reduction as a humane endpoint: a critical appraisal

Steven R Talbot<sup>1</sup> , Svenja Biernot<sup>1</sup>, Andre Bleich<sup>1</sup> ,  
Roelof Maarten van Dijk<sup>2</sup>, Lisa Ernst<sup>3</sup>, Christine Häger<sup>1</sup> ,  
Simeon Oscar Arnulfo Helgers<sup>4</sup>, Babette Koegel<sup>3</sup>, Ines Koska<sup>2</sup>,  
Angela Kuhla<sup>5</sup>, Nina Miljanovic<sup>2</sup>, Franz-Tassilo Müller-Graff<sup>5</sup>,  
Kerstin Schwabe<sup>4</sup>, Rene Tolba<sup>3</sup> , Brigitte Vollmar<sup>5</sup>,  
Nora Weegh<sup>1</sup>, Tjark Wölk<sup>5</sup>, Fabio Wolf<sup>2</sup>, Andreas Wree<sup>6</sup>,  
Leonie Zieglowski<sup>3</sup>, Heidrun Potschka<sup>2\*</sup> , and  
Dietmar Zechner<sup>5\*</sup> 

## Abstract

In many animal experiments scientists and local authorities define a body-weight reduction of 20% or more as severe suffering and thereby as a potential parameter for humane endpoint decisions. In this study, we evaluated distinct animal experiments in multiple research facilities, and assessed whether 20% body-weight reduction is a valid humane endpoint criterion in rodents. In most experiments (restraint stress, distinct models for epilepsy, pancreatic resection, liver resection, caloric restrictive feeding and a mouse model for Dravet syndrome) the animals lost less than 20% of their original body weight. In a glioma model, a fast deterioration in body weight of less than 20% was observed as a reliable predictor for clinical deterioration. In contrast, after induction of chronic diabetes or acute colitis some animals lost more than 20% of their body weight without exhibiting major signs of distress. In these two animal models an exclusive application of the 20% weight loss criterion for euthanasia might therefore result in an unnecessary loss of animals. However, we also confirmed that this criterion can be a valid parameter for defining the humane endpoint in other animal models, especially when it is combined with additional criteria for evaluating distress. In conclusion, our findings strongly suggest that experiment and model specific considerations are necessary for the rational integration of the parameter 'weight loss' in severity assessment schemes and humane endpoint criteria. A flexible implementation tailored to the experiment or intervention by scientists and authorities is therefore highly recommended.

## Keywords

rodent, severity, euthanasia, stress, distress

Date received: 18 February 2019; accepted: 13 September 2019

<sup>1</sup>Institute for Laboratory Animal Science, Hannover Medical School, Germany

<sup>2</sup>Institute of Pharmacology, Toxicology, and Pharmacy, Ludwig-Maximilians-University, Germany

<sup>3</sup>Institute for Laboratory Animal Science & Experimental Surgery and Central Laboratory for Laboratory Animal Science, RWTH Aachen University, Germany

<sup>4</sup>Department of Neurosurgery, Hannover Medical School, Germany

<sup>5</sup>Rudolf-Zenker-Institute of Experimental Surgery, University Medical Center, Rostock, Germany

<sup>6</sup>Institute of Anatomy, University Medical Center, Rostock, Germany

\*Heidrun Potschka and Dietmar Zechner are equal contributors.

### Corresponding authors:

PD Dr. rer. nat. Dietmar Zechner, Institute for Experimental Surgery, Rostock University Medical Center, Schillingallee 69a, 18057 Rostock, Germany.

Email: dietmar.zechner@uni-rostock.de

Prof. Dr. Heidrun Potschka, Institute of Pharmacology, Toxicology, and Pharmacy, Ludwig-Maximilians-University, Munich, Germany.  
Email: potschka@pharmtox.vetmed.uni-muenchen.de

The importance of animal welfare is increasingly appreciated by the general public as well as scientists. At the same time animal experiments are necessary for basic research and often provide the basis for subsequent clinical studies. For example, one publication describes that from 76 animal studies, which were published in highly cited journals, the results of 28 animal studies (37%) were replicated in human randomized trials, finally yielding eight interventions, which were subsequently approved for patients.<sup>1</sup> This suggests that well performed animal studies can provide a solid basis for the development of novel therapies. To facilitate animal experiments while improving animal welfare, it is necessary to develop objective measures to evaluate distress of animals and to define humane endpoints. Already in 1985 Morton and Griffiths published that the body weight of animals can be an indicator of animal distress and that this criterion has the distinct advantage that it can be objectively measured.<sup>2</sup> In this publication more than 20% reduction of body weight plus no consumption of water or food was defined as starving condition. In subsequent publications it was suggested that animals, which lose more than 20% of body weight should be killed in order to avoid suffering.<sup>3</sup> Consequently, many institutional animal care and use committees in the USA, but also in other countries, adopted the recommendation to consider euthanasia for animals, which lost 20% of their body weight unless a severe outcome for the animals was predicted and approved. Legislation has also embraced the idea of defining humane endpoints for experimental animals. One example is the directive 2010/63/EU of the European Parliament, which demands the use of humane endpoints without defining at which percentage of body weight loss an animal must be killed.<sup>4</sup> Supplementary information relating to this directive, recommends euthanasia of animals, when more than 20% or more than 35% of body weight is lost.<sup>5</sup> However, a weight loss of 35% is considered to be an extreme endpoint that requires sound scientific justification. In some toxicological studies, feed restriction studies, or animal models of colitis a weight loss of respectively, 20-30, 50 or about 40% can be observed, when compared to the starting weight or the body weight of a control group.<sup>6-8</sup> Moreover, some mutant mouse strains can have up to 50% reduction in body weight when compared to wild-type mice.<sup>9</sup> However, absolute boundary values for euthanasia are also criticized.<sup>10</sup> Thus, the purpose of this study was to evaluate if 20% body-weight reduction is a valid parameter for defining the humane endpoint in different animal studies. In order to assess the validity of the parameter in various 'real-life' experimental conditions, we analyzed data from animal models with varying group designs and time schedules, which have been completed in different research facilities.

## Materials and methods

### Data and computation

Body weight data of rodents throughout distinct animal experiments were collected from different consortium members and pooled in an online repository (see online Supplementary file 1). Some data have been also used for other publications (see online Supplementary file 2). The absolute body weight or the percentage of body weight change was assessed centrally. All experiments were executed in accordance with the German legislation, the EU directive 2010/63/EU and approved by local authorities (for reference number see online Supplementary file 1).

### Animal models

*Restraint stress model in mice.* Female C57BL/6J mice were obtained from the Central Animal Facility (Hannover Medical School, Hannover, Germany). For restraint stress mice were placed into restraint tubes on 10 consecutive days (days 1–10) for 60 min (from 09.00 to 10.00 am). Restrainers (tubes with 23 mm internal diameter and 93 mm length) consisted of clear acrylic glass with ventilation holes (8 mm diameter) and a whole-length spanning, 7 mm-wide opening along the upper side of the tube. Following the restraining procedure mice were removed to their home cages.<sup>11</sup>

*Streptozocin model in mice.* For this study female MRL/MpJ mice from the Central Animal Facility (Rostock Medical School, Rostock, Germany), which are prone to spontaneously develop autoimmune pancreatitis, were used. Diabetes was induced by ip (intra-peritoneal) injection of 50 mg/kg STZ (Sigma-Aldrich, Steinheim, Germany) on five consecutive days (days 1–5). All control mice were sham-treated with the appropriated vehicle (50 mmol/l sodium citrate pH 4.5).

*Dextran sodium sulfate-induced colitis in mice.* Female C57BL/6J mice were obtained from the Central Animal Facility (Hannover Medical School, Hannover, Germany). For induction of an acute colitis dextran sulfate sodium (DSS, mol wt 36,000–50,000; MP Biomedicals, Eschwege, Germany) was used. Mice were exposed to 0% (control group, H<sub>2</sub>O only), 1% and 1.5% DSS in drinking water for five consecutive days (days 1–5) and had access to a running wheel.<sup>11</sup>

*Chemical status epilepticus model in rats.* In female Sprague Dawley rats with an electrode in the right hippocampal dentate gyrus, status epilepticus (SE) was

induced by fractionated lithium-pilocarpine injections (as described by Glien et al., 2001<sup>12</sup>). SE was pharmacologically terminated after 90 min. Post-SE rats were injected sc (sub-cutaneous) for two days with Ringer lactate solution and fed with baby food until they resumed normal feeding behaviour.

*Intracranial rat glioma model.* BT4Ca cells were stereotactically implanted into the right frontal cortex of male BDIX rats. Post-operatively, weight and general health condition were scored on a daily basis. Whenever a rat reached score 4 (severe neuronal symptoms, apathy) or lost >20% body weight as compared to the pre-surgical weight, the animal was sacrificed. In that condition the animal usually dies within the next few hours. Therefore, this criterion was defined as humane endpoint (please see Wu et al., 2018 for more detail).<sup>13</sup>

*Pancreatic resection model in rats.* Wistar rats underwent a left sided pancreatic resection. The resected area was sealed with either a recently developed glue, fibrin or rinsed with NaCl as control group. The animals were observed for 14 post-operative days (POD) and body weight as well as severity score has been measured.

*Liver resection in rats.* 50% of the liver was resected in Wistar rats. Resected area was sealed with Vivo 100, Fibrin glue or rinsed with NaCl. The animals were observed postoperative for three days and body weight as well as severity score has been determined. In another experiment a 50% liver resection has been performed using Wistar rats (Janvier, France) and the resected area was sealed with a polyurethane-based glue, fibrin glue or rinsed with NaCl.

*Kindling model with Celastrol administration in mice.* Male wild-type mice and HSP70-knockout mice were subjected to repeated kindling stimulations via an electrode in the right amygdala (as described by von Rüden et al., 2015).<sup>14</sup> Celastrol (1 mg/kg ip; Sigma Aldrich Chemie GmbH, Taufkirchen, Germany) or vehicle (5% ethanol, 0.1% Cremophor EL<sup>®</sup> in saline) was injected once daily 6 h before the electrical kindling stimulation.

*Restrictive feeding in mice.* For this study female C57BL/6J and ApoE deficient (*ApoE*<sup>-/-</sup>) mice were fed either *ad libitum* (AL) or caloric restricted (CR, 60% of AL) for 74 weeks (C57BL/6J) or 60 weeks (*ApoE*<sup>-/-</sup>).

*Dravet syndrome in mice.* Conditional knockin male mice carrying mutation A1783V in exon 26

(B6(Cg)-*Scn1a*<sup>tm1.1Dsf</sup>/J; #026133) were crossed with heterozygous female mice that express cre recombinase (X-linked to neuronal promotor *Hprt* gene; 129S1/Sv-*Hprt*<sup>tm1(CAG-cre)Mnn</sup>/J; #004302). The offspring resulted in heterozygous Dravet mice expressing the A1783V mutation with/without Cre or wild-type mice with/without Cre presence. Animals received food (ssniff<sup>®</sup> R/M-H, Sniff, Soest, Germany) and water AL while Dietgel76A was offered as a supplement (Sniff, Soest, Germany) from P14 until P26.

## Statistics

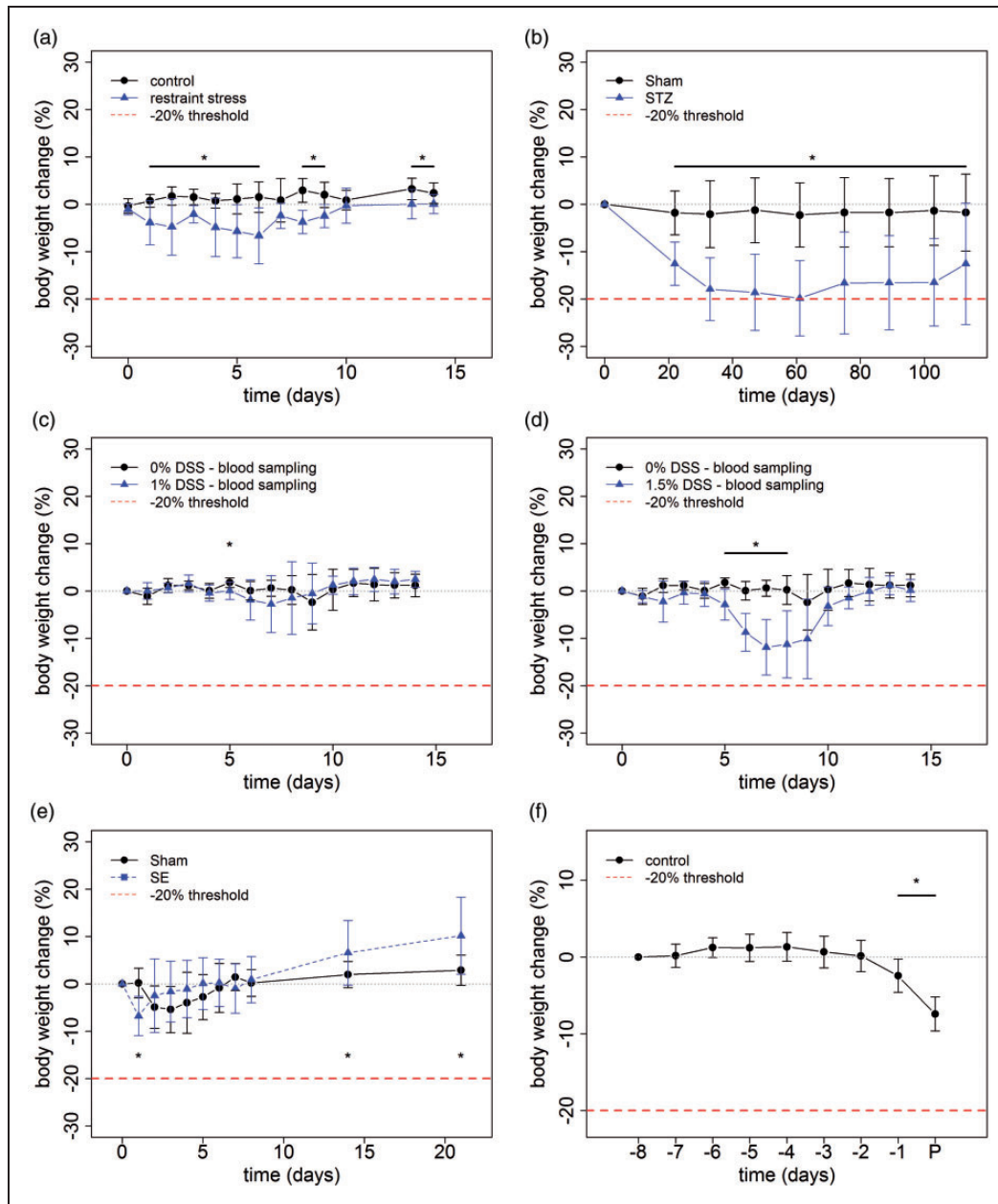
Statistical computing was performed with the R software (version 3.4.1) on a 64-bit machine.<sup>15</sup> The following packages were used: readxl for data extraction from MS-Excel files, reshape2 for data restructuring to the long format.<sup>16,17</sup> Further, some plots were generated using Prism Software (version 6.1, GraphPad Prism Inc.). Group and/or time differences were analysed either by *t*-test (with Welch correction in case of unequal variances) or Mann-Whitney U test (Wilcoxon Rank Sum Test), depending on data distribution. The Shapiro-Wilk test was used to test against the null hypothesis of normality. In case of a rejection, the non-parametric test was used. Results were considered to be significant at the  $\alpha = 0.05$  threshold.

## Results

### *Adult animals: impact of distress exposure, disease models, surgical procedures and drug treatment*

*Restraint stress model in mice.* Mice exposed to 10 days of restraint stress lost weight during the early exposure phase resulting in a significantly reduced body weight (Figure 1(a)). However, towards the end of the stress model procedure animals started to regain weight thereby reducing the difference to control animals.

*Streptozocin model in mice.* Streptozocin administration in mice induced damage of pancreatic  $\beta$  cells resulting in a type 1 diabetic phenotype with hyperglycemia. The metabolic alterations in this model caused a progressive loss of body weight resulting in a significantly reduced body weight in comparison with control mice (Figure (a)). In eight animals (8 out of 13) weight loss exceeded 20% during the first two months after streptozocin exposure. These animals had a clinical score of not higher than 5 on a scale from 0 to 37 (for details of the clinical score: see online Supplementary file 3). Interestingly, mice that were not euthanized due to weight loss started to regain weight from the third



**Figure 1.** Stress paradigms and disease models. (a) Restraint Stress model in mice. Animals exposed to restraint stress show a significant loss in body weight compared to control animals on days 1–6, 8, 9, 13 and 14 ( $t$ -test with  $p \leq 0.05$  and Welch correction in case of unequal variances,  $n = 8$ , error bars are standard deviation). The maximum drop of body weight in single animals compared to starting conditions occurred on days 2 and 4 with 19%. No animal violated the 20% loss in body weight threshold. (b) Diabetes in MRL/MpJ mice. After induction of diabetes by streptozocin (STZ), mice lost significantly more body weight than control animals (Sham). Weight loss in animals with streptozocin-induced pancreatitis compared to untreated control animals was significant at day 22 and stayed so until day 113 ( $t$ -test or Mann-Whitney U test depending on data distribution,  $p \leq 0.05$ ,  $n_{\text{ctrl}} = 19$ ,  $n_{\text{model}} = 13$ , error bars are standard deviation). In one mouse a maximum loss of body weight of 32% was observed on day 89. Nine animals dropped below the 20% body weight threshold. (c) Dextran sulfate sodium (DSS)-induced colitis in mice. 0% DSS v. 1% DSS. Mice reached a body weight that did not differ significantly from control animals at day nine ( $t$ -test with Welch correction,  $p \leq 0.05$ ,  $n_{\text{ctrl}} = 7$ ,  $n_{\text{model}} = 8$ , error bars are standard deviation). (d) DSS-induced colitis in mice. 0% DSS v. 1.5% DSS. Day 5 shows a significant drop in body weight compared to control animals ( $t$ -test,  $p \leq 0.05$ ,  $n_{\text{ctrl}} = 7$ ,  $n_{\text{model}} = 8$ , error bars are standard deviation). Two animals dropped below the 20% body weight threshold and had to be euthanized. (e) Chemical status epilepticus in rats resulted in a rapid induced SE ( $t$ -test,  $p \leq 0.0001$ ,  $n_{\text{ctrl}} = 12$ ,  $n_{\text{model}} = 15$ , error bars are standard deviation). (f) Intracranial glioma model. Change in body weight (%) of rats with intracranial tumor 8 days before perfusion (P). Body weight on day 8 before perfusion was set as 100%. Data are shown as mean  $\pm$  standard deviation. Significant differences of days compared to day 8 are indicated with an asterisk (one-tailed, one-sample  $t$ -test,  $p \leq 0.01$ ,  $n = 10$ ).

month onwards (Figure 1(b)). This weight gain was not caused by reduced hyperglycemia, since the mean blood glucose concentration in these mice did increase from 21.8 mmol/l (standard deviation (SD) 3.4) on day 61 to 24.9 mmol/l on day 89 (SD 4.3) and further slightly increased until day 113.

*DSS-induced colitis in mice.* DSS-induced colitis represents a widely applied model of intestinal inflammation. Oral administration of 1% DSS via drinking water remained without relevant impact on body weight (Figure 1(c)). In contrast, mice exposed to 1.5% DSS in the drinking water for five consecutive days showed a pronounced drop in body weight. The weight loss exceeded 20% in two animals (2 out of 8), which were then euthanized. These animals had a clinical score of 0 and 3 on a scale from 0 to 17 (for details of the clinical score: see online Supplementary file 3). Five days following the end of oral DSS administration animals started to recover. Mice reached a body weight that did not differ significantly from control animals at day nine (following the termination of DSS exposure (Figure 1(d))).

*Chemical SE model in rats.* Pilocarpine is frequently used to induce a SE in laboratory rodents. SE with administration of the anticonvulsant diazepam 90 min after onset of SE resulted in a rapid weight loss. One day following SE the body weight proved to be significantly reduced as compared to naïve rats and electrode-implanted rats without induction of SE (sham) (Figure 1(e)). However, during the next two days the animal regained the lost weight. Subsequently, a higher weight gain was evident in SE-exposed animals resulting in a mean body weight exceeding that in both control groups 14 and 21 days following SE (Figure 1(e)).

*Intracranial rat glioma model.* After initial surgery for stereotaxic injection of BT4Ca glioma cells rats were in good health condition until shortly before finalizing the experiment with a mean survival time of 16 days. A minor loss of body weight (mean weight loss of 2.1% compared to the previous day), together with a slight deterioration of the general health condition was found about 2 days before reaching endpoint criterion. This was followed by a severe deterioration of the clinical score and more pronounced weight loss (mean weight loss of 5.2% compared to the previous day) on the following day (Figure 1(f)).

*Pancreatic resection in rats.* In a rat pancreatic resection model the resection surface was sealed with three different synthetic tissue glues or fibrin. In control animals, saline was administered instead of the adhesive

material. Regardless of the treatment of the resection surface, a minor transient body weight loss was observed in the early post-surgical phase in the majority of animals (Figure 2(a)). None of the animals lost more than 20% of the body weight. On POD8 animals exceed their initial operation weight.

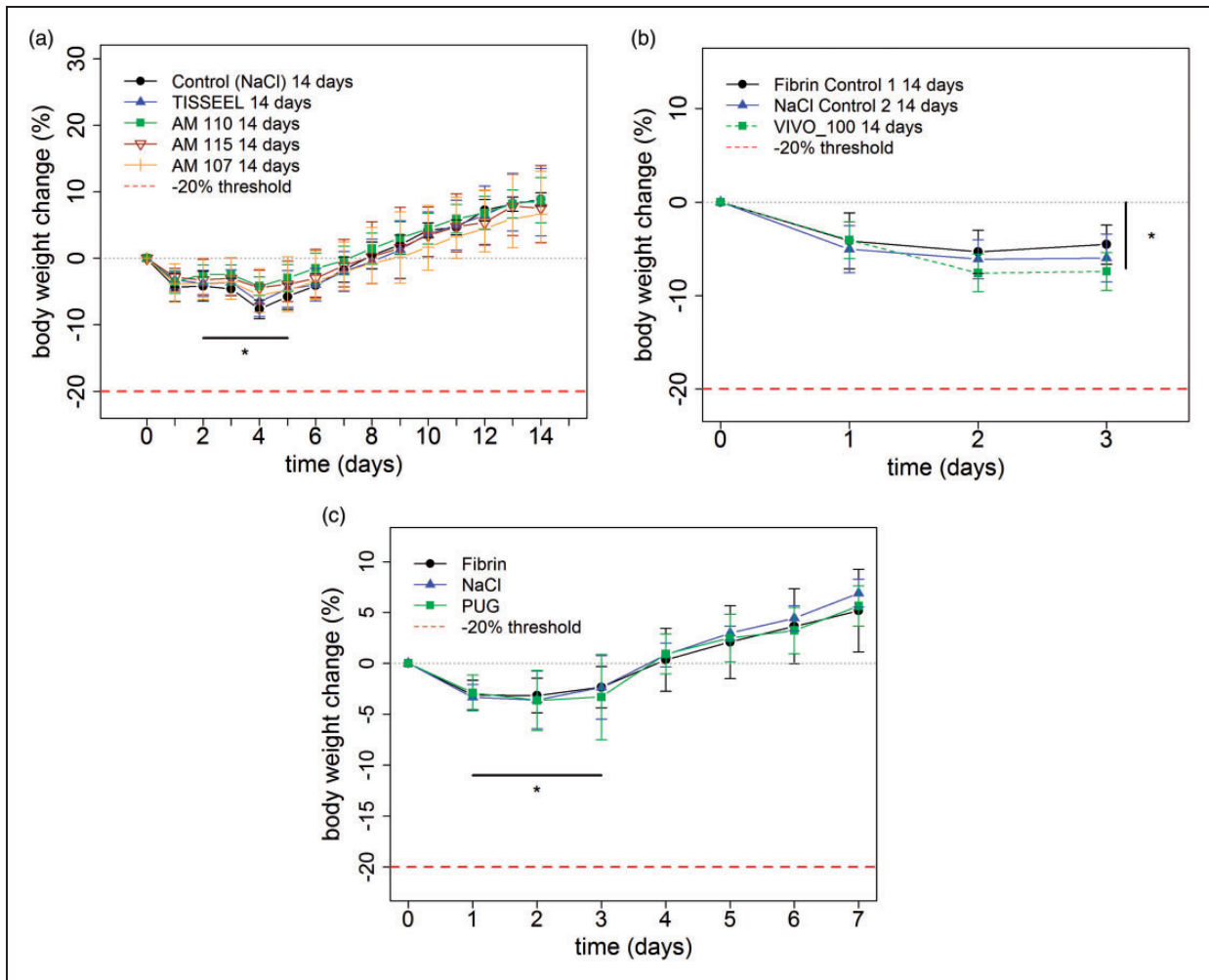
*Liver resection in rats.* During partial resection of the liver a recently developed glue (VIVO-100) was tested in comparison with fibrin for adhesion of the resection surface (Figure 2(b)). In control animals, saline was administered instead of the adhesive material. Regardless of the treatment of the resection surface animals exhibited only a slight drop in body weight following surgery which did not exceed 20%. In another experiment a 50% liver resection was performed on rats with a sealing of the resection site with PUG, fibrin or saline. Regardless of the groups, animals exhibit a significant weight loss on POD1–3 compared to their operation weight (Figure 2(c)). This was followed by an increase of weight at POD4 exceeding the initial weight.

*Kindling model with Celastrol administration in mice.* The kindling model with once daily seizure induction represents a frequently used model of temporal lobe epilepsy. The consequences of genetic and pharmacological targeting of an inducible heat shock protein were assessed in this paradigm. Daily injections of Celastrol significantly lowered overall body weight in wild-type mice and HSPA1 knockout mice in the kindling model of temporal lobe epilepsy (Figure 3(a) and (b)). The treatment protocol has been slightly adjusted introducing two interim phases without treatment in order to avoid a too pronounced weight loss. During these phases without drug administration, animals regained weight (Figure 3(a) and (b)).

#### *Growth curves: impact of restrictive feeding and of a genetic deficiency*

*Restrictive feeding.* In order to assess beneficial effects on cognitive performance, CR feeding was initiated at an age of four weeks in C57BL6/J (Figure 4(a)) mice as well as *ApoE*<sup>-/-</sup> mice (Figure 4(b)). In comparison with mice fed AL, the body weight of mice with CR feeding proved to be reduced throughout the study by more than 20%. (Figure 4(a) and (b)). However, even mice fed with a CR diet gained body weight.

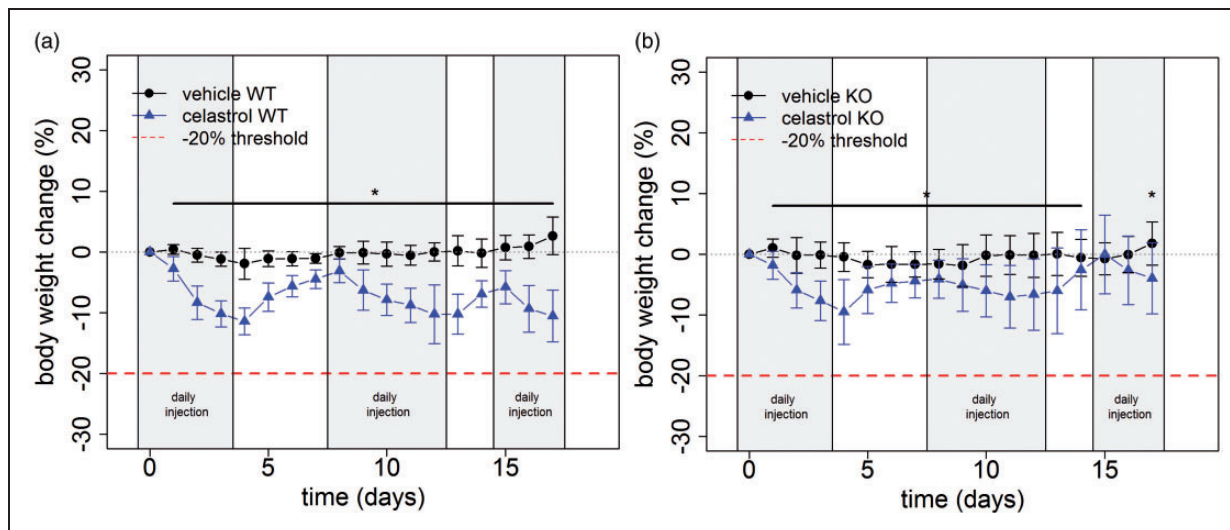
*Dravet syndrome in mice.* The Dravet syndrome is a rare genetic epileptic encephalopathy characterized by



**Figure 2.** Surgery. (a) Pancreatic resection model in rats. Following a left sided pancreatic resection in Wistar rats the resected area was non sealed (NaCl group) or sealed either with a fibrin glue (TISSEEL) or polyurethane-based glues (PUG) (AM 110, 115 or 107). The animals experience a rapid weight loss up to day 4. After this time point the animals recovered and the weight gain to preoperative values was reached at day 7. Significant differences (\*) indicate that data points are different from zero [one-tailed  $t$ -test,  $p \leq 0.01$ ,  $n = 3-9$ , error bars are standard deviation,  $n = 4$ ]. (b) 50% liver resection and comparison of recently developed glue. Following a 50% liver resection in Wistar rats, the resected area was non sealed (NaCl group) or sealed either with a fibrin glue (TISSEEL) or PUG (Vivo 100). The animals experience a rapid weight loss in the first 3 post-operative days. This weight loss is significantly different to the pre-operative weight. A one-sample  $t$ -test was used in testing the samples whether they differed from zero body weight change (%). After day 0 all samples were significantly different from zero [one-tailed  $t$ -test,  $p \leq 0.01$ ,  $n = 3-9$ , error bars are standard deviation]. (c) 50% liver resection and severity assessment. 50% liver resection in Wistar rats has been performed. The resected area was either glued with fibrin, PUG or rinsed with NaCl. The animals experience a rapid weight loss in the first 3 post-operative days. This weight loss is significantly different to the pre-operative weight. After this time point the animals recovered and the weight gain to preoperative values was reached at day 4. A one-sample  $t$ -test was used to test for significant differences to zero body weight change (%). On day 1-3 values are significantly different from zero [one-tailed  $t$ -test,  $p \leq 0.05$   $n = 21$ , error bars are standard deviation].

difficult-to-treat seizures as well as cognitive and motor impairment.<sup>18</sup> In a knockin Dravet mouse model, a lower body weight was evident at the time of weaning (data not shown). This is compensated over time as Dravet mice exhibit a steeper weight gain curve with

a significantly increased weight gain from day 5 until day 27 post weaning (Figure 4(c)). As a consequence, the body weight of Dravet mice reaches a comparable range to wild-type mice approximately two weeks following weaning (data not shown).



**Figure 3.** Drug treatment. (a) Kindling model with celastrol administration in wild-type mice. Daily injections of celastrol significantly lowered overall body weight in wild-type mice (WT) and HSPA1 knockout mice (KO) in the kindling model of temporal lobe epilepsy ( $t$ -test,  $p \leq 0.01$  from day 1 to 17,  $n_{\text{ctrl}} = 8$ ,  $n_{\text{model}} = 9$ , error bars are standard deviation). (b) Kindling model with celastrol administration in knockout mice ( $t$ -test,  $p \leq 0.05$  from day 1 to 13 and 17,  $n_{\text{ctrl}} = 11$ ,  $n_{\text{model}} = 14$ , error bars are standard deviation).

## Discussion

### *Body weight as a severity assessment parameter: simple to assess but difficult to interpret*

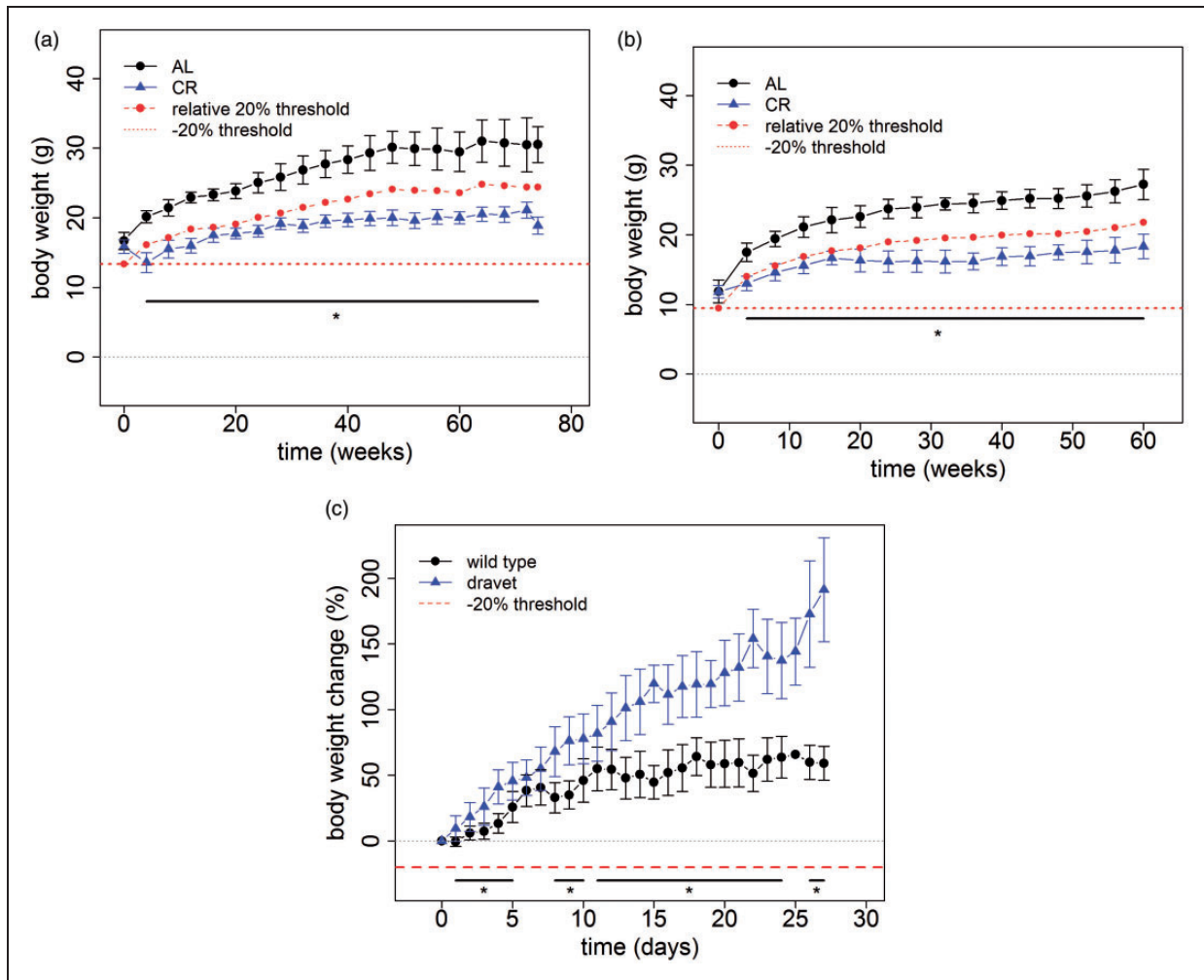
At first glance body weight seems to be a simple parameter, which is easy to assess in an objective manner and can provide information for severity assessment scoring systems and decision about humane endpoints. However, interpretation of body weight loss in the context of severity assessment also constitutes a challenge that needs to take into account that appetite, food intake and body weight development are regulated in a very complex manner.<sup>19</sup> The interpretation is further complicated by the fact that weight loss can be a symptom of various conditions associated with reduced appetite, metabolic alterations, increased energy expenditure, or malabsorption.

As further discussed below there are several reasons why weight loss is considered as a parameter for severity assessment and humane endpoint. Weight loss can on one hand reflect decreased appetite as a consequence of distress, fear and pain.<sup>20</sup> On the other hand, it can also indicate progression of a chronic disease reflecting deterioration with an increasing burden for the animals. Moreover, weight loss related to different reasons can indicate a state of starvation, which if reaching a specific level can directly cause distress in affected animals.

### *Weight loss as a consequence of restrictive feeding, malabsorption, metabolic alterations, or drug effects on appetite control*

The question about the level of weight loss, which should be considered as a burden itself, is for instance of relevance for experimental studies, in which a lowered body weight is a consequence of caloric restriction, changes in metabolism, or malabsorption. It needs to be taken into account that the majority of experimental animals receive food AL. Interestingly, caloric restriction can exert beneficial effects indicating overfeeding and overweight as a consequence of AL feeding.<sup>21–26</sup> On the other hand, detrimental consequences such as an aggravation in age-related impairment of activity and anxiety-associated behaviour are also possible.<sup>21</sup>

With the CR feeding protocols applied in the present studies, none of the animals lost more than 20% of their starting weight. Since the animals are still in the growth phase when initiating CR feeding, it is, however, better to compare the body weight of these mice to the body weight of mice fed AL. However, a decision about humane endpoint should also not be based exclusively on a 20% difference in body weight. More research is needed for an evidence-based definition of limits of tolerable weight differences while considering benefits of caloric restriction and other readout parameters measuring distress.



**Figure 4.** Impact of restrictive feeding on body weight. (a) Impact of restrictive feeding on C57Bl/6 J mice. Animals that were fed in a caloric restrictive (CR) manner had significantly less body weight compared to mice fed ad libitum (AL). There is a significant difference in daily body weight ranging from week 4 to 74 (Wilcoxon rank sum test, \*  $p \leq 0.05$ ,  $n_{AL} = 10$ ,  $n_{CR} = 10$ , three mice fed AL died, no mouse fed in a CR manner died, error bars are standard deviation). (b) Impact of restrictive feeding on ApoE<sup>-/-</sup> mice. A Wilcoxon rank sum test showed significant differences for weeks 4–60 (\*  $p \leq 0.05$ ,  $n_{AL} = 10$ ,  $n_{CR} = 10$ , error bars are standard deviation). (c) Dravet syndrome in mice. Animals with Dravet syndrome showed lower body weight at the time of weaning, but increased weight gain following the weaning (day 0). Dravet animals showed significantly increased weight gain over wild-type animals on indicated days (\*  $p \leq 0.05$ ,  $n_{WT} = 10$ ,  $n_{dravet} = 10$ , error bars are standard deviation).

As mentioned above, the same question applies for models in which weight loss is related to metabolic alterations or malabsorption. In the DSS colitis model weight loss was observed, which proved to be slight and transient in response to 1% DSS but exceeded 20% in some of the animals responding to 1.5% DSS. In affected animals, we did not observe an association with a severe deterioration of the clinical state with bloody diarrhea being the only other symptom. Thus, despite the weight loss the impairment of the clinical state of the affected animals remained on a mild level, whereas wheel running performance decreased to nearly 0%, consistent with weight loss. A very similar

observation has been made after induction of diabetes with streptozocin. Several mice lost more than 20% of their body weight within two months without a deterioration of the clinical state or signs of distress or pain (for parameters see online Supplementary file 3). In addition, after the first two months of diabetes the body weight increased again. In respective situations a strict application of 20% weight loss as a criterion for euthanasia might result in unnecessary loss of animals with the consequence of higher animal numbers necessary for the experiment.

Another example, which raises the question about the relevance of weight loss for severity, are drug effects

on appetite regulation or energy expenditure.<sup>27</sup> A respective effect has been observed with Celastrol, which was administered to modulate neuroinflammatory responses in a chronic epilepsy model. Previous studies reported that Celastrol causes increased energy expenditure.<sup>28</sup> In our experiments, Celastrol-treated mice lost weight without persistent additional clinical symptoms except from acute adverse effects in the hours following administration. Thus, the data indicate that the effect was rather related to drug-induced metabolic alterations. Considering strict regulations applying for this experiment, we implemented treatment-free intervals to avoid weight loss exceeding 15%. The experience during this study again raises the question, which level of weight loss should be considered as a burden for the animals?

### *Considerations for interventions with transient weight loss*

Specific considerations also seem to be necessary for interventions, which are associated with a transient weight loss. This has been observed in animals following partial liver or pancreas resection during the early phase of restraint stress as well as following SE induction. While the excess of 20% weight loss was an exception in our experiments, it is nevertheless debatable whether animals should be euthanized related to a drop in body weight, which is expected to be transient with a high level of certainty. As discussed above, losing animals as a consequence would imply the need for higher animal numbers. In this context, a transient 20% weight loss in the absence of other clinical symptoms should be considered a questionable humane endpoint considering the overarching 3Rs objectives.

### *Weight loss as a parameter reflecting distress*

It is well known that pathophysiological factors related to distress, fear and pain can exert a strong impact on appetite thereby causing weight loss.<sup>20,29</sup> Our findings further confirmed the impact of distress on body-weight development with restraint stress causing a transient drop in body weight during the early exposure phase. Thus, the present data further support the relevance of thorough body-weight monitoring for severity assessment in animals, which are exposed to stressful procedures.

### *Weight loss as an early marker for discontinuation*

In models with progression of the disease, there is an urgent need for early humane endpoints markers

for discontinuation decisions.<sup>30,31</sup> In this context, body-weight reduction has been confirmed as a helpful parameter in different experimental scenarios.<sup>9,32,33</sup> Following the same concept, our analysis in an intracranial glioma model revealed that body weight drop within 1–2 days, but not exceeding 20% is a reliable predictor of clinical deterioration. Please note that more specific markers for endpoint determination will be discussed in detail in a separate publication.

### *Considerations for growth curves in young animals*

The assessment of growth curves during development of young animals also requires specific considerations. These are of particular relevance for severity assessment in genetically modified animals. The weight development of Dravet mice constitutes an interesting example with a reduced body weight evident at the time point of weaning, but more pronounced weight gain following weaning. The reduced weight gain at the time of weaning might be related to maternal neglect of affected animals. The strong weight gain following weaning indicates that the lower body weight is in this case not a result of inappetence or illness-associated weight reduction. Our data, therefore, suggest that a transiently lowered body weight during a specific developmental phase should always be evaluated in the context of other clinical signs, since loss of animals due to euthanasia can imply the need for higher animal numbers.

### *Conclusions and future recommendations*

In conclusion, the fact that weight loss can be related to different reasons and that weight loss can take a different course, strongly suggests that experiment and model specific considerations are necessary for the rational integration of the parameter ‘weight loss’ in severity assessment schemes and humane endpoint criteria. For example, a weight loss of 20% as sole criterion for euthanasia would lead to the premature death of diabetic animals. In the intracranial glioma model, however, a body weight loss less than 20% is already a reliable predictor of clinical deterioration. Thus, each animal model is unique and requires tailor-made humane end-points.

In this context, it is important to consider that a less pronounced reduction of weight loss as a consequence of different interventions indicates that weight loss of less than 20% should be considered in clinical scoring sheets with consequences depending on the animal model.

This study, therefore, suggests that the decision for euthanasia should not be based solely on an arbitrary percentage of body-weight change, but should always

consider other parameters indicating pain or distress and also animal model specific considerations.

### Acknowledgements

We thank Ilona Klamfuß (Rudolf-Zenker-Institute of Experimental Surgery, Rostock University Medical Center) and Zhiqun Wu (Department of Neurosurgery, Hannover Medical School) for excellent technical assistance. We (Institute of Pharmacology, Toxicology, and Pharmacy, Ludwig-Maximilians-University, Munich) thank Uwe Birett, Sabine Vican, Katharina Gabriel, Katharina Schönhoff, Sarah Driebusch, Sieglinde Fischlein, Tamara Lindemann, Carmen Meyer, Sabine Saß, and Claudia Siegl for their excellent technical assistance. The study is properly described and the appropriate reporting guidelines have been followed. The methods used were appropriate and are described fully, the results are presented clearly and the conclusions are supported by the results. Also, any relevant ethical approval and consents have been obtained and included in the paper.

### Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Funding

The author(s) disclosed receipt of the following financial support for the research, authorship and/or publication of this article: Funding information on each study is given in online Supplementary file 1.

### ORCID iDs

Steven R Talbot  <https://orcid.org/0000-0002-9062-4065>  
 Andre Bleich  <https://orcid.org/0000-0002-3438-0254>  
 Christine Häger  <https://orcid.org/0000-0002-6971-9780>  
 Rene Tolba  <https://orcid.org/0000-0002-0383-3994>  
 Heidrun Potschka  <https://orcid.org/0000-0003-1506-0252>  
 Dietmar Zechner  <https://orcid.org/0000-0002-2075-7540>

### Supplemental Material

Supplemental material is available for this article online.

### References

- Hackam DG and Redelmeier DA. Translation of research evidence from animals to humans. *JAMA* 2006; 296: 1731–1732.
- Morton DB and Griffiths PH. Guidelines on the recognition of pain, distress and discomfort in experimental animals and an hypothesis for assessment. *Vet Rec* 1985; 116: 431–436.
- Morton DB. A systematic approach for establishing humane endpoints. *ILAR J* 2000; 41: 80–86.
- Ricceri L and Vitale A. The law through the eye of a needle. *EMBO Rep* 2011; 12: 637–640.
- Expert Work Group (EWG) for the assessment of severity of procedures. Caring for animals: aiming for better science, [http://ec.europa.eu/environment/chemicals/lab\\_animals/pdf/guidance/severity/en.pdf](http://ec.europa.eu/environment/chemicals/lab_animals/pdf/guidance/severity/en.pdf) (accessed 12 February 2019).
- Pohjanvirta R, Tuomisto J, Vartiainen T, et al. Han/Wistar Rats are Exceptionally Resistant to TCDD. *I. Pharmacol Toxicol* 1987; 60: 145–150.
- Cappon GD, Fleeman TL, Chapin RE, et al. Effects of feed restriction during organogenesis on embryo-fetal development in rabbit. *Birth Defects Res Part B-Dev Reprod Toxicol* 2005; 74: 424–430.
- Ding A and Wen X. Dandelion root extract protects NCM460 colonic cells and relieves experimental mouse colitis. *J Nat Med* 2018; 72: 857–866.
- Ott B, Dahlke C, Meller K, et al. Implementation of a manual for working with wobbler mice and criteria for discontinuation of the experiment. *Ann Anat* 2015; 200: 118–124.
- Franco NH, Correia-Neves M and Olsson IAS. How ‘Humane’ is your endpoint? Refining the science-driven approach for termination of animal studies of chronic infection. *PLoS Pathog* 2012; 8: e1002399.
- Häger C, Keubler LM, Talbot SR, et al. Running in the wheel: defining individual severity levels in mice. *PLoS Biol* 2018; 16: e2006159.
- Glien M, Brandt C, Potschka H, et al. Repeated low-dose treatment of rats with pilocarpine: low mortality but high proportion of rats developing epilepsy. *Epilepsy Res* 2001; 46: 111–119.
- Wu Z, Nakamura M, Krauss JK, et al. Intracranial rat glioma model for tumor resection and local treatment. *J Neurosci Methods* 2018; 299: 1–7.
- von Rüden EL, Jafari M, Bogdanovic RM, et al. Analysis in conditional cannabinoid 1 receptor-knockout mice reveals neuronal subpopulation-specific effects on epileptogenesis in the kindling paradigm. *Neurobiol Dis* 2015; 73: 334–347.
- R Core Team. R: A language and environment for statistical computing., <http://www.r-project.org> (2019).
- Wickham, H and Bryan J. readxl: Read Excel files. *R package version 0.1*, <https://cran.r-project.org/package=readxl> (2019).
- Wickham H. Reshaping data with the reshape Package. *J Stat Softw* 2007; 21: 1–20.
- Wallace A, Wirrell E and Kenney-Jung DL. Pharmacotherapy for Dravet Syndrome. *Pediatr Drugs* 2016; 18: 197–208.
- Heisler LK and Lam DD. An appetite for life: brain regulation of hunger and satiety. *Curr Opin Pharmacol* 2017; 37: 100–106.
- Andermann ML and Lowell BB. Toward a wiring diagram understanding of appetite control. *Neuron* 2017; 95: 757–778.
- Kuhla A, Lange S, Holzmann C, et al. Lifelong caloric restriction increases working memory in mice. *PLoS One* 2013; 8: e68778.

22. Rühlmann C, Wölk T, Blümel T, et al. Long-term caloric restriction in ApoE-deficient mice results in neuroprotection via Fgf21-induced AMPK/mTOR pathway. *Aging (Albany NY)* 2016; 8: 2777–2789.
23. Kuhla A, Hahn S, Butschkau A, et al. Lifelong caloric restriction reprograms hepatic fat metabolism in mice. *J Gerontol A Biol Sci Med Sci* 2014; 69: 915–922.
24. Gillette-Guyonnet S and Vellas B. Caloric restriction and brain function. *Curr Opin Clin Nutr Metab Care* 2008; 11: 686–692.
25. Mantis JG, Centeno NA, Todorova MT, et al. Management of multifactorial idiopathic epilepsy in EL mice with caloric restriction and the ketogenic diet: Role of glucose and ketone bodies. *Nutr Metab* 2004; 1: 11.
26. Li L, Sawashita J, Ding X, et al. Caloric restriction reduces the systemic progression of mouse AApoAII amyloidosis. *PLoS One* 2017; 12: e0172402.
27. Rogers RC, McDougal DH and Hermann GE. Hindbrain astrocyte glucodetectors and counterregulation. In: Harris RBS (ed.) *Appetite and Food Intake: Central control*, 2nd edn. Boca Raton, FL: CRC Press/Taylor & Francis, pp.205–228.
28. Ma X, Xu L, Alberobello AT, et al. Celastrol protects against obesity and metabolic dysfunction through activation of a HSF1-PGC1 $\alpha$  transcriptional axis. *Cell Metab* 2015; 22: 695–708.
29. Monteiro S, Roque S, de Sá-Calçada D, et al. An efficient chronic unpredictable stress protocol to induce stress-related responses in C57BL/6 mice. *Front Psychiatry* 2015; 6: 1–11.
30. Ashall V and Millar K. An opportunity to refocus on the ‘humane’ in experimental endpoints: moving beyond Directive 2010/63/EU. *ATLA Altern to Lab Anim* 2013; 41(4): 307–312.
31. Ashall V and Millar K. Endpoint matrix: a conceptual tool to promote consideration of the multiple dimensions of humane endpoints. *ALTEX* 2014; 31: 209–213.
32. Roughan JV, Coulter CA, Flecknell PA, et al. The conditioned place preference test for assessing welfare consequences and potential refinements in a mouse bladder cancer model. *PLoS One* 2014; 9: 1–11.
33. Hankenson FC, Ruskoski N, Saun M Van, et al. Weight loss and reduced body temperature determine humane endpoints in a mouse model of ocular herpesvirus infection. *J Am Assoc Lab Anim Sci* 2013; 52: 277–285.

## Résumé

Dans de nombreuses expérimentations animales, les scientifiques et les autorités locales définissent une réduction du poids de 20 % ou plus comme source de graves souffrances et par conséquent comme un paramètre éthique potentiel pour les décisions relatives à l’euthanasie. Dans cette étude, nous avons évalué des expériences animales distinctes dans de nombreuses installations de recherche, et évalué si une réduction de 20 % du poids était un critère d’euthanasie valide chez les rongeurs. Dans la plupart des expériences (stress lié aux dispositifs de retenue, modèles distincts pour l’épilepsie, résection pancréatique, résection du foie, alimentation limitée en calorie et un modèle de souris pour le syndrome de Dravet) les animaux ont perdu moins de 20 % de leur poids corporel. Dans un modèle de gliome, une détérioration rapide du poids de moins de 20 % a été observée et considérée comme un facteur de prédiction fiable de détérioration clinique. En revanche, après l’induction d’un diabète chronique ou d’une colite aiguë, certains animaux ont perdu plus de 20 % de leur poids corporel sans exposer les principaux signes de détresse. Dans ces deux modèles animaux, une application exclusive du critère de perte de poids de 20 % pour décider de l’euthanasie pourrait donc entraîner une perte inutile d’animaux. Cependant, nous avons également confirmé que ce critère peut être un paramètre valide pour définir le critère éthique d’euthanasie dans d’autres modèles animaux, en particulier lorsqu’il est combiné avec d’autres critères d’évaluation de la détresse. En conclusion, nos résultats suggèrent fortement que des facteurs spécifiques liés à l’expérience et au modèle sont des éléments qu’il faut nécessairement prendre en compte pour l’intégration rationnelle du paramètre de « perte de poids » dans les systèmes d’évaluation de la gravité et les critères d’euthanasie. Une mise en œuvre souple adaptée à l’expérience ou à l’intervention par les scientifiques et les autorités est donc fortement recommandée.

## Abstract

In vielen Tierversuchen definieren Wissenschaftler und Lokalbehörden einen Gewichtsverlust von 20 % oder mehr als schweres Leiden und damit als möglichen Parameter für humane Endpunktentscheidungen. In der vorliegenden Studie haben wir verschiedene Tierversuche in unterschiedlichen Forschungseinrichtungen ausgewertet und untersucht, ob eine Gewichtsreduktion von 20 % ein valides humanes Endpunktkriterium bei Nagetieren ist. In den meisten Experimenten (Ruhigstellungsstress, verschiedene Modelle für Epilepsie, Pankreasresektion, Leberresektion, kalorienbeschränkte Ernährung und ein Mausmodell für das Dravet-Syndrom) verloren die Tiere weniger als 20 % ihres ursprünglichen Körpergewichts. In einem Gliommodell wurde eine schnelle Abnahme des Körpergewichts von weniger als 20 % als zuverlässiger Indikator für die klinische Verschlechterung beobachtet. Demgegenüber haben einige Tiere nach Induktion von chronischem

Diabetes oder akuter Kolitis mehr als 20 % ihres Körpergewichts verloren, ohne größere Belastungsanzeichen zu zeigen. In diesen beiden Tiermodellen dürfte eine ausschließliche Anwendung des 20 %-Gewichtsabnahmekriteriums für die Tötung daher zu einem unnötigen Verlust von Tieren führen. Wir haben aber auch bestätigt, dass dieses Kriterium ein valider Parameter für die Definition des humanen Endpunktes in anderen Tiermodellen sein kann, insbesondere wenn es mit weiteren Kriterien zur Beurteilung von Belastung kombiniert wird. Zusammenfassend deuten unsere Ergebnisse stark darauf hin, dass versuchs- und modellspezifische Erwägungen für eine sinnvolle Integration des Parameters „Gewichtsverlust“ in Schweregradbestimmungs-Systeme und humane Endpunktkriterien notwendig sind. Eine flexible, auf den Versuch oder die Intervention von Wissenschaftlern und Behörden zugeschnittene Implementierung wird daher dringend empfohlen.

## Resumen

En muchos experimentos con animales, tanto los científicos como las autoridades locales definen una reducción del peso corporal del 20 % o más como un grave sufrimiento y, por tanto, como un parámetro potencial para decisiones de sacrificio humanitario. En este estudio evaluamos distintos experimentos con animales en instalaciones de investigación y analizamos si una reducción del 20 % del peso corporal es un criterio válido para llevar a cabo un sacrificio humanitario de los roedores. En la mayoría de experimentos (estrés por retención, distintos modelos para epilepsia, resección pancreática, resección hepática, alimentación con restricción calórica y un modelo de roedor para el síndrome Dravet) los animales perdieron menos del 20 % de su peso corporal original. En un modelo de glioma, se observó un rápido deterioro del peso corporal de menos del 20 % como un indicador fiable de deterioro clínico. Por otro lado, después de una inducción de diabetes crónica o colitis aguda, algunos animales perdieron más del 20 % de su peso corporal sin mostrar ningún signo llamativo de molestia. En estos dos modelos de animales, una aplicación estricta del criterio utilización de la eutanasia para animales con una pérdida del 20 % del peso corporal podría conllevar en una pérdida innecesaria de animales. Sin embargo, también confirmamos que este criterio puede ser un parámetro válido para definir un sacrificio humanitario en otros modelos de animales, especialmente cuando se combina con unos criterios adicionales de evaluación del estrés. En conclusión, nuestro estudio sugiere firmemente que son necesarias consideraciones específicas para cada modelo y experimento para la integración racional del parámetro de «pérdida de peso» a la hora de aplicarlas a los programas de evaluación de gravedad y a los criterios de sacrificios humanitarios. Se recomienda, por tanto, una implementación flexible según el experimento y la intervención por parte de los científicos y las autoridades.